**RFP-02-UA-2023**

**Technical Proposal**

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| **Proponent** |  |
| **Contact person** |  |
| **Proponent’s address** |  |
| **Phone Number** |  |
| **UEI number** (if available) |  |
| **Email** |  |
| **Website** (if available) |  |

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| 1. **Statement of Interest** |
| *(provide your statement of interest regarding the assignment (up to 1000 words)*  *(also, for companies/organizations – provide the following information:*   * *general presentation of your company/organization/business;* * *areas and types of activity;* * *general experience and technical capabilities;* * *other relevant information to present your company/organization/business.*   *this information may also be provided as a separate presentation file).*  *(may also be provided as a separate presentation file)* |

*Please fill out the form below separately for each SME / team member / subcontractor offered for this assignment as a part of your proposal*

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| 1. **Name of the SME** | | | | | | | |
| *(insert full name of the SME)* | | | | | | | |
| 1. **SME’s background and general experience** | | | | | | | |
| *(provide specific details of your general experience and background including*   * *details on educational and professional background;* * *key skills and certifications;* * *areas of expertise;* * *other relevant information).* | | | | | | | |
| 1. **At least five (5) years of relevant experience conducting research, delivering briefings or trainings, and/or implementing policy or capacity-building activities related to counterproliferation finance or the Russian defense sector** | | | | | | | |
| *(provide specific details or indicate “n/a” in case you don’t have relevant experience or expertise)* | | | | | | | |
| 1. **Knowledge the specific subject matter areas** | | | | | | | |
| Methods the Russian defense sector uses to facilitate and obfuscate the procurement and sale of ACW to evade legal frameworks and sanctions | | | *(provide specific details or indicate “n/a” in case you don’t have relevant knowledge, experience or expertise)* | | | | |
| Using open-source investigations and data analysis to identify individuals and companies involved in illicit Russian ACW proliferation networks, including connections to offshore networks and corporate secretarial and management providers | | | *(provide specific details or indicate “n/a” in case you don’t have relevant knowledge, experience or expertise)* | | | | |
| Using public records to identify corporate network data and beneficial ownership information related to defense procurement, corruption, and the arms trade | | | *(provide specific details or indicate “n/a” in case you don’t have relevant knowledge, experience or expertise)* | | | | |
| US legal frameworks and international sanctions such as the: CAATSA-231 (d) List of Specified Persons (LSP), U.S. Department of Commerce’s Military End User List and Entity List, Treasury’s Specially Designated Nationals and Blocked Persons (SDN) list, and relevant executive orders | | | *(provide specific details or indicate “n/a” in case you don’t have relevant knowledge, experience or expertise)* | | | | |
| Trends and emerging threats related to counterproliferation finance such as cryptocurrencies | | | *(provide specific details or indicate “n/a” in case you don’t have relevant knowledge, experience or expertise)* | | | | |
| 1. **A high degree of regional expertise in the Balkans, a regional network of contacts to leverage for engagement, and an understanding of regional political and cultural dynamics** | | | | | | | |
| *(provide specific details or indicate “n/a” in case you don’t have relevant experience or expertise)* | | | | | | | |
| 1. **Experience working with or advising international financial regulators, law enforcement, banks, or anti-money laundering and compliance professionals to strengthen compliance programs** | | | | | | | |
| *(provide specific details or indicate “n/a” in case you don’t have relevant experience or expertise)* | | | | | | | |
| 1. **Familiarity with suspicious transaction reporting and compliance processes at banks and other financial institutions** | | | | | | | |
| *(provide specific details or indicate “n/a” in case you don’t have relevant experience or expertise)* | | | | | | | |
| 1. **Experience developing public-private partnerships or facilitating intra-bank cooperation** | | | | | | | |
| *(provide specific details or indicate “n/a” in case you don’t have relevant experience or expertise)* | | | | | | | |
| 1. **Experience with virtual events, capacity building programs, teaching, and/or leading trainings for international audiences. The webinars will be recorded and distributed after the live event** | | | | | | | |
| *(provide specific details or indicate “n/a” in case you don’t have relevant experience or expertise)* | | | | | | | |
| 1. **ACAMS certification, or familiarity developing content for ACAMS-certified trainings** | | | | | | | |
| *(provide specific details or indicate “n/a” in case you don’t have relevant experience or expertise)* | | | | | | | |
| 1. **Familiarity with compliance platforms & screening tools used by financial institutions** | | | | | | | |
| *(provide specific details or indicate “n/a” in case you don’t have relevant experience or expertise)* | | | | | | | |
| 1. **List of applicable references** | | | | | | | |
| **#** | **Full Name** | **Title** | | **Entity/Organization** | **Phone** | **Email** | **Website** |
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