**A.15. Institutional Data Form**

*The information requested below must be provided in full and signed by an authorized institutional signatory, certifying that the information is true to the best of their knowledge. CRDF Global cannot proceed with an award to the institute without this information.*

|  |  |
| --- | --- |
| Institution Name: |  |
| Institutional Website: |  |
| Type of Organization: | International Organization ☐ Government ☐ Corporation ☐ University ☐ |
| UEI Number: |  |

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| U.S. Organizations Only | | | | | | | | | | |
| TIN/EIN | | |  | | | | | | | |
| Small Business Designations | | | Small Business ☐ SDB ☐ HUB-Zone ☐ VOSB ☐ SDVOSB☐ N/A ☐ | | | | | | | |
| **Financial Controls, Audits, & Bioethics** | | | | | | | | | | |
| Did your organization expend more than US $750,000.00 in U.S. Government Federal Funding (Grants, Contracts, Subgrants, Subcontracts) in the previous fiscal year?  If yes, please provide a copy of your single audit report, which is required under 2 CFR 200. | | | | | | | | Yes ☐ | | No ☐ |
| Have you been audited in the past 3 years? If yes, please send a copy of the report. | | | | | | | | Yes ☐ | | No ☐ |
| Were there any material or significant findings in the audit report? | | | | | | | | Yes ☐ | | No ☐ |
| Has your organization ever had a grant or contract terminated for cause? | | | | | | | | Yes ☐ | | No ☐ |
| Does your organization utilize a financial manual to authorize expenses? | | | | | | | | Yes ☐ | | No ☐ |
| Does your organization utilize an accounting system to track expenses? | | | | | | | | Yes ☐ | | No ☐ |
| Does your organization have an ethics policy? | | | | | | | | Yes ☐ | | No ☐ |
| Does your organization have a timekeeping system for labor such as timesheets? | | | | | | | | Yes ☐ | | No ☐ |
| Does your project involve: Human Subjects ☐ Animal Testing ☐ Recombinant DNA ☐ Not applicable/None ☐ | | | | | | | | | | |
| **Executive/Management Reporting Requirements** | | | | | | | | | | |
| CRDF Global may be required to publicly report the names and total compensation of the five most highly compensated individuals at the awardees’ institution. If you meet any of the criteria below, you are exempt from this requirement. Please find and check any applicable exemption: | | | | | | | | | | |
| In the previous tax year, institutional gross income from all sources was LESS than $300,000. | | | | | | | | | Exempt ☐ | |
| The institution received LESS than 80 percent of its annual gross revenues in U.S. federal funding (Contracts, Grants, Subgrants, Subcontracts or Loans). | | | | | | | | | Exempt ☐ | |
| The institution received LESS than $25,000,0000 in annual gross revenues from U.S. federal funding sources (Contracts, Grants, Subgrants, Subcontracts or Loans). | | | | | | | | | Exempt ☐ | |
| Executive compensation is publicly reported under section 13(a) or 15(d) of the Security Exchange Act or section 6104 of the Internal Revenue Code. | | | | | | | | | Exempt ☐ | |
| I do not meet any of the exemptions above. I will provide the names and total compensation of the five most highly compensated executives. [Click here](http://www.crdfglobal.org/sites/default/files/Before%20You%20Receive%20Your%20Award_1.pdf) for more information. | | | | | | | | | [Not Exempt](http://www.crdfglobal.org/sites/default/files/Before%20You%20Receive%20Your%20Award_1.pdf) ☐ | |
| **Past Performance** | | | | | | | | | | |
| Please list any applicable grants or contracts received from outside organizations. Successful completion is defined as zero suspensions or terminations for cause, audit findings or other discrepancies. | | | | | | | | | | |
| Funding Source | Total Funding | | | | Successful Completion? | | Type of Project | | | |
| World Bank | Ex. 50,000USD | | | | Yes ☐ No ☐ | | Research Grant | | | |
|  |  | | | | Yes ☐ No ☐ | |  | | | |
|  |  | | | | Yes ☐ No ☐ | |  | | | |
|  | |  | |  | |  |  | |
| Signature | |  | | Name and Title | |  | Date | |